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**FACSIMILE COVER SHEET**

To: Commissioner for Patents for Examiner Cesar B. Paula Group Art Unit 2178	Facsimile No. 571/273-8300
From: Nancy Milinkovich Legal Assistant to Lisa L.B. Yociss	No. of Pages Including Cover Sheet: 12
<p>Enclosed herewith:</p> <ul style="list-style-type: none"><li>• Transmittal;</li><li>• Response to Notice of Non-Compliant Amendment and Supplemental Amendment; and</li><li>• Copy of Notice of Non-Compliant Amendment.</li></ul>	
Re: Application Serial No. 09/431,429 Attorney Docket No. AUS990339US11	
Date: May 24, 2006	
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MAY 24 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bahrs

Serial No.: 09/431,429

Filed: October 29, 1999

For: Method and Apparatus in a Data  
Processing System for Specifying the  
Sequencing and Mediation of  
Application Components

§ Group Art Unit: 2178

§

§ Examiner: Paula, Cesar B.

§

§ Attorney Docket No.: AUS990339US11

§

Certificate of Transmission Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being transmitted via  
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on May 24, 2006.

By: Nancy Milinkovich

Nancy Milinkovich

35525

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HERewith:

- Response to Notice of Non-Compliant Amendment and Supplemental Amendment; and
- Copy of Notice of Non-Compliant Amendment.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Lisa Yociss

Lisa Yociss

Registration No. 36,975

Duke W. Yee

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ATTORNEYS FOR APPLICANT

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/431,429	10/29/1999	PETER C. BAHRIS	AUS990339US1	8684
35525	7590	04/24/2006		
IBM CORP (YA) C/O YEE & ASSOCIATES PC P.O. BOX 802333 DALLAS, TX 75380				
			EXAMINER	
			PAULA, CESAR B	
			ART UNIT	PAPER NUMBER
			2178	

DATE MAILED: 04/24/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## CLIENT DOCKET INFORMATION

Client Name: AUS990339US1

File No. \_\_\_\_\_

DATE	ACTION DOCKETED
05.24.06	Response to Notice of Non-Compliant Amendment

Docketed By: <u>mg</u>	Date: <u>05.02.06</u>
Checked By: <u>js</u>	Date: <u>5.2.06</u>
Attorney Initials: <u>js</u>	Date: <u>5/2/06</u>

# **Notice of Non-Compliant Amendment (37 CFR 1.121)**

Application No.

09/431,429

Examiner

CESAR B. PAULA

Applicant(s)

BAHRS, PETER C.

Art Unit

2178

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 15 February 2006 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
  - ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
  - ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings:
  - ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other \_\_\_\_\_
- ☒ 4. Amendments to the claims:
  - ☒ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☒ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☒ E. Other: See Continuation Sheet.

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/propnotice/officefiver.pdf>.

## **TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted within the time period set forth in the final Office action.
2. Applicant is given **one month, or thirty (30) days, whichever is longer**, from the mail date of this notice to supply the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

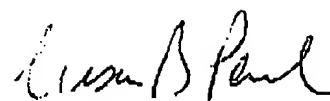
Failure to timely respond to this notice will result in:

- Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or
- Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Continuation Sheet (PTOL-324)

Application No. 09/431,429

Continuation of 4(e) Other: Canceled claim 293 has not been listed with its status as per 37CFR 1.121.



CESAR PAULA  
PRIMARY EXAMINER